

gay 1636

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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| | | | | | Application Number | 10/055,794 | |
| TRANSMITTAL | | | | Filing Date | January 17, 2002 | | |
| | FORM | | | | First Named Inventor | FARMER, ANDREW ALAN | |
| | 1 01411 | | | | Group Art Unit | 1636 MARVICH, MARIA B. | |
| | (to be used for al | l correspondence after ini | ial filing) | | Examiner Name | | |
| | Total Number of | of Pages in This Submissi | on | 10 | Attorney Docket Number | CLON-069 | |
| | | | ENCLO | SUR | ES (check all that apply) | | |
| | Extension of T Express Aband Information Dis Certified Copy Documents Response to M Incomplete Ap | ched Reply al s/declaration(s) ime Request donment Request sclosure Statement of Priority flissing Parts/ plication e to Missing Parts | | (for an Drawin Licens Petition Provisi Power Changa Addres Termin Reques | ing-related Papers n to Convert to a onal Application of Attorney, Revocation e of Correspondence | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1. Copy of International Search Report dated December 12, 2002 (7 pgs) 2. SB/08A (1 pg) 3. 2 Cited References 4. Return Postcard | |
| | under 37 | CFR 1.52 or 1.53 | Kemarks | | | | |
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| or Individual Name | | | | | | RECEIVED | |
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| Date January 16, 2003 | | | | | TECH CENTER 1600/2900 | | |
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| | Typed or printed name Sarah Berg | | | | | | |
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| Signature | Sarah Ber | | | Date | January 16, 2003 | | | |
| INFORM | 1ATION G | Attorney Docket | CLON-069 | | | | | |
| DISCLOSURE | | First Named Inventor | FARMER, ANDREW ALAN | | | | | |
| UNDER 37 6. | F.R. § 1.97(e) | Application Number | 10/055,794 | | | | | |
| /6. | ` %] | Confirmation No. | 7438 | 7438 | | | | |
| JAN 23 | 2003 | Filing Date | January 17, 2002 | | | | | |
| Address to: Assistant Contains in the Address to: | for Parents | Group Art Unit | 1636 | 1636 | | | | |
| Washington, D. \$20201 | | Examiner Name MAR | | ARVICH, MARIA B. | | | | |
| | | Title: "Sequence Specific Recombinase-Based Methods Producing Intron Containing Vectors and Compositions for Use in Practicing the Same" | | | Vectors and | | | |

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. CLON-069.

> Respectfully submitted, **BOZICEVIC, FIELD & FRANCIS LLP**

Date:

January 16, 2003

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025 Telephone: (650) 327-3400

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| Substitute for form 1449A/PTO JAN 2 3 2003 | | | | Application Number | 10/055,794 | | |
| | INFORMATION D | ISCLOS | UR # / | Filing Date | January 17, 2002 | | |
| | STATEMENT BY | APPLICA | ANT | First Named Inventor | FARMER, ANDREW ALAN | | |
| STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Group Art Unit | 1636 | | |
| | | | | Examiner Name | MARVICH, MARIA B. | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | CLON-069 | | |

| | | | | U.S. PATENT DOCU | MENTS | - |
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| Examin er Initials' | Cite No. ¹ | U.S. Pat Number | ent Documents Kind Code ² (if known) | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear |
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| Initials' | No.1 | Office ³ Number ⁴ Kind Code ⁵ (if known) | | | | T⁵ | | | |
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| OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS | | | | | | | |
|---|-----------|---|----|--|--|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | | | |
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| Examiner | Date | |
|-----------|------------|--|
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